

U1576

Appendix A

State of South Dakota
Statement of Financial Interest
Candidate for Public Office

RECEIVED

JAN 02 2002

S.D. SEC. OF STATE

File statement in the office where your nominating petition or convention nomination certification was filed.

Please read information on reverse side before completing this form.

1. Name J. E. "Jim" Putnam

2. Address 614 W 2nd, Box 490, Armour, South Dakota 57313

3. Office Sought South Dakota State Representative

4. What is your occupation/profession? Business owner

5. List any enterprise which accounted for more than ten percent of, or contributed more than \$2,000 to, your family's (includes spouse, minor children living at home) gross income in the preceding calendar year. Identify who receives the income from each enterprise.

What is the nature of your immediate family's association with each? The value of the financial interest need not be reported.

-0-

-0-

6. List any enterprise in which you, your spouse or minor children living at home control more than ten percent of the capital or stock. Identify who has the ownership interest in each enterprise.

What is the nature of your immediate family's association with each?

-0-

-0-

State of South Dakota)
County of Douglas) SS.

Verification

Filed this 2nd day of January, 2003

I have reviewed paragraphs 1 through 6 of the Information Regarding Statement of Financial Interest (attached), my Statement of Financial Interest and certify that the information reported is a complete, true and accurate representation of my financial interests for the preceding calendar year.

SECRETARY OF STATE

(Signed)

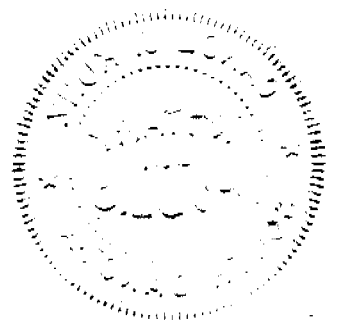
Sworn to before me this 30th day of December, 2002.

Carol J. Kuntz
Officer Administering Oath

My commission expires: 2-23-2008

on the expenditure page of succeeding reports. If an unpaid bill is forgiven during a succeeding reporting period, it should be shown as an in kind contribution to the campaign on the report covering the period when the debt was forgiven. If a monetary loan is forgiven during a succeeding reporting period, it should be shown as a contribution to the campaign and as a monetary load repaid on the summary page.

SUMMARY PAGE -- This page contains a summary of all the Schedules. The amount on hand at the close of the reporting period must equal the amount on hand at the beginning plus total monetary receipts plus monetary loans to the campaign plus candidate's contribution to the campaign minus loan repayments and expenses. The amount on hand at the close should accurately reflect the amount of money that the committee has at the end of the reporting period in their checking, savings, CD, and other accounts.



State of South Dakota

Candidate's or Committee's Report of Receipts and Expenditures

Candidates and candidate committees: File in the office where you filed your nominating petition.

PACs, political party, ballot question and other committees: File with Elections Department, Secretary of State's Office,
500 E Capitol Ave, Pierre, SD 57501-5070

See pages 9 & 10 of the Guideline Book for specific instructions on completing this report.

Name of Candidate or Committee J.E. "Jim" Putnam

Complete Mailing Address 614 W. 2nd St. Box 490, Armour, South Dakota 57313
Daytime

Name of Person Making Report Carol Jo Kunz Phone 605-724-2976

If you are a candidate, what office are you seeking House of Representatives

If you are a ballot question committee, indicate which measure(s) the committee was involved with during the reporting period and whether the measure was supported or opposed.

Type of Report (See pages 4 & 5 of Guideline Book) Post General

For Reporting Period Ending (See pages 4 & 5 of Guideline Book) 12-31-02

The following verification must be completed before submitting report.

VERIFICATION OF PERSON MAKING REPORT

I J.E. "Jim" Putnam (print name legibly), certify that I have examined this report and to the best of my knowledge and belief it is true, correct and complete.

Date: 12-30-02

J.E. Putnam
Candidate Signature or
Signature of Committee Treasurer or Chairperson

Revised July 2001

Filed this 2nd day of
January, 20 03

Joyce Hagelstine

SECRETARY OF STATE

Information Regarding Statement of Financial Interest

1. Who Files. This form is to be completed by all candidates filing nominating petitions for United States Senator, United States House of Representatives, Governor, Circuit Court Judge, State Legislature, County Commissioner, School Board Member in a school district with total enrollment of more than two thousand students, or commissioner, council member, or mayor in any first class municipality; all convention nominees for Lieutenant Governor, State Treasurer, Attorney General, Secretary of State, State Auditor, Public Utilities Commissioner and Commissioner of School and Public Lands; and all Supreme Court Justices seeking retention.

2. Deadline. It must be filed in the office where your nominating petition or convention nomination certification was filed within 15 days after that filing.

3. Nature of your association in #5 and #6 means if you were an employee, officer, director, associate, partner, stockholder, member, proprietor, served in an advisory or consulting capacity, or provided professional services. This must be provided for each enterprise which is listed.

4. Definitions of Terms (SDCL 12-25-27)

(1) "Any member of his immediate family," a spouse or minor children living at home;

(2) "Close economic interest," any enterprise that, in the calendar year preceding filing of a statement under any of §§ 12-25-28 to 12-25-30, inclusive, contributes either more than ten percent of or more than two thousand dollars, to the gross income of the family which shall include the individual required to file the statement and any member of his immediate family, or an enterprise in which such individual or any member of his immediate family control more than ten percent of the capital or stock;

(3) "Enterprise," any business or economic relationship [where you were an employee, officer, director, associate, shareholder, member, partner or proprietor];

(4) "Statement of financial interest," a description of the type of financial activity and the nature of the association with the enterprise as provided in subdivision (1) of this section.

5. Penalty (SDCL 12-25-28) Failure to file the statement of financial interest is a petty offense. An intentional violation is a Class 2 misdemeanor.

6. Conflict of Interest Provisions. State officeholders and local government officials are prohibited (with limited exceptions) from doing business with state and local government. Corporations, partnerships and other business entities in which the officeholder holds some interest or control are likewise precluded from doing governmental business. Spouses and other family members of the officeholder may be similarly prohibited (although no definitive court decision has yet been issued). A prohibited contract may be declared void or subject the officeholder to criminal sanctions. Candidates should review all personal and family financial interests accordingly and contact a lawyer with questions.

a) Legislators (Art. III, § 12) This provision of the State Constitution prohibits a legislator from having any interest, direct or indirect, in a contract with the State or county authorized or funded by any law enacted during the legislator's term or one year thereafter.

b) State officers (SDCL 5-23-14.2) This statute prohibits any state officer from being interested in any state contract which the officer has the supervisory power to approve, award or administer.

c) Local government officers (SDCL §§ 6-1-1, 6-1-2, 3-16-7) With limited exceptions local governmental officers, appointed and elected, cannot be interested, directly or indirectly, in contracts with the governmental entity they serve as officers. Contracts that are excepted are detailed in SDCL 6-1-2.

Name of Candidate or Committee J.E. "Jim" Putnam

For the reporting period ending December 31, 2002

Schedule A - Direct Contributions

This schedule is used for reporting all direct contributions. You must keep a record of all contributors, but for this report you may combine all contributions of \$100 or less from individuals and the same from political parties and enter these sums as unitemized contributions on their respective lines below and on the next page. Any contribution of more than \$100 or aggregate during a calendar year from an individual or political party and all contributions from PAC's must be entered as a separate item (itemized) giving the amount, name, address and place of employment (if applicable) of the contributor. Each type of contributor has their own section for itemization. This schedule may be duplicated if you need more space, or you may attach additional sheets of paper.

=====

Unitemized Contributions from Individuals: *\$ 1450.00

Itemized Contributions from Individuals

| Name | Residence Address | Place of Employment (Name of Employer) | |
|------------|---|---|------------------|
| Jeff Broin | 809 West 3rd St. Dell Rapids, SD 57022 | Broin & Associates | \$ <u>250.00</u> |
| | | | \$ _____ |
| | | | \$ _____ |
| | | | \$ _____ |
| | | | \$ _____ |
| | | | \$ _____ |
| | | | \$ _____ |
| | | | \$ _____ |
| | | | \$ _____ |
| | | | \$ _____ |
| | | | \$ _____ |
| | | | \$ _____ |
| | | | \$ _____ |
| | | | \$ _____ |
| | | | \$ _____ |
| | | | \$ _____ |
| | | | \$ _____ |
| | | | \$ _____ |
| | | | \$ _____ |
| | | | \$ _____ |
| | | | \$ _____ |

Total of Itemized Contributions from Individuals: *\$ 250.00

For the reporting period ending December 31, 2002

Unitemized Contributions from Political Parties:

*\$ -0-

| Party Name | Address | |
|-------------------------------|---------------------------------|-----------|
| South Dakota Republican Party | PO Box 1099 Pierre, SD 57501 | \$ 250.00 |
| | | \$ _____ |

Total of Itemized Contributions from Political Parties:

***\$**

[illegible]

Total Itemized Contributions from Political Action Committees: *\$ 3980.00

Total of All Direct Contributions (Sum of all lines with an *) \$ 5930.00

| | | |
|---|--|----------|
| SDAHO | 3708 Brooks Place Suite 1 Sioux Falls, SD 57106 | \$250.00 |
| SD Med Pac | 1323 S Minnesota Ave. Sioux Falls, SD 57105 | \$200.00 |
| Turner County GOP | Parker, SD 57053 | \$400.00 |
| SDCRNA PAC | 4518 River Oaks Drive Sioux Falls, SD 57105 | \$200.00 |
| Contractors PAC of SD | Box 488 Pierre, SD 57501 | \$300.00 |
| SD Action Committee For REA | PO Box 1138 Pierre, SD 57501 | \$200.00 |
| Cotel PAC | PO Box 57 Pierre, SD 57501 | \$300.00 |
| Qwest | 125 S. Dakota Ave 8 th floor Sioux Falls, SD 57194 | \$300.00 |
| SD Optometric | 26 – 5 th St. NE Box 1540 Watertown, SD 57203 | \$250.00 |
| SDRPAC | 120 N. Euclid Ave. Pierre, SD 57501 | \$200.00 |
| SD Dealers Election Action Committee | PO Box 89008 Sioux Falls, SD 57109 | \$140.00 |
| SD Trucking | PO Box 89008 Sioux Falls, SD 57109 | \$190.00 |
| SD Association of Healthcare Organizations | 3708 Brooks Place Sioux Falls, SD 57106 | \$750.00 |
| SD Retailers for Effective Government | PO Box 638 Pierre, SD 57501 | \$150.00 |

Name of Candidate or Committee J.E."Jim" Putnam

For the reporting period ending December 31, 2002

Schedule B - Fund-Raising Events Proceeds

List on this schedule fund-raising events held to raise money for the candidate and the net proceeds derived from each event. If a contributor gives more than \$100 or their contribution results in their aggregate being more than \$100 in the calendar year, those contributions must be itemized on Schedule A.

Type of Event

Net Proceeds

Total: \$ -0-

Schedule C - In Kind Contributions

Report all non-cash contributions of goods or services and the estimated fair market value. If the value exceeds \$100, the name of the contributor, residence address and place of employment must be reported.

Nature of Non-Cash Contribution

Estimated Value

Name of Contributor

Total: \$ -0-

Schedule D - Other Income

Use this schedule to report any refunds, interest earned or other income which is not a direct contribution.

Source of Income

Amount

Total: \$ -0-

Name of Candidate or Committee J.E."Jim" Putnam

For the reporting period ending December 31, 2002

Schedule E - Expenditures

This schedule is to report all expenditures relating to a candidate's campaign. Line items have been provided for reporting common expenses. All other expenses should be listed. **All contributions to candidates and committees must be listed individually.**

| Item | Amount | Contributions Made to Candidates and Committees: |
|-----------------|------------------|--|
| Advertising | <u>\$2157.78</u> | Jr. Engbrecht \$250.00 |
| Consulting | <u>-0-</u> | Vince Swenson \$100.00 |
| Postage | <u>\$250.34</u> | Judy Clark \$100.00 |
| Printing | <u>\$536.25</u> | Ray Egan \$250.00 |
| Rent | <u>\$116.00</u> | |
| Salaries | <u>\$500.00</u> | |
| Telephone | <u>\$125.95</u> | |
| Travel | <u>\$295.00</u> | |
| Utilities | <u>\$179.51</u> | |
| Other Expenses: | <u>\$868.08</u> | |

Total Expenditures: \$ 5729.23

Name of Candidate or Committee J.E."Jim" Putnam

For the reporting period ending December 31, 2002

Schedule F - Debts and Obligations

This schedule is to report all of the candidate's campaign obligations which are unpaid at the end of the reporting period. If a service has been contracted but not billed, estimate the amount of the obligation.

Owed To

Purpose

Amount

-0-

Total Obligations: \$ -0-

Name of Candidate or Committee J.E."Jim" Putnam
December 31, 2002
For the reporting period ending _____

Summary Page

This summary sheet will give a brief outline of all campaign finance activity during this reporting period. Please transfer all totals from the schedules previously completed.

| | |
|---|-------------------|
| 1. Amount on hand, if any, at beginning of reporting period | \$ <u>1021.00</u> |
| 2. Receipts | |
| Schedule A - Direct Contributions | \$ <u>5930.00</u> |
| Schedule B - Fund-Raising Events | \$ <u>-0-</u> |
| Schedule C - In Kind Contributions | \$ <u>-0-</u> |
| Schedule D - Other Income | \$ <u>-0-</u> |
| Total of all receipts | \$ <u>5930.00</u> |
| 3. Total Monetary Receipts (A+B+D) | \$ <u>5930.00</u> |
| 4. Candidate's Personal Contribution to Own Campaign | \$ <u>-0-</u> |
| 5. Monetary Loans to Candidate or Committee During Reporting Period | \$ <u>-0-</u> |
| 6. Monetary Loans Repaid During Reporting Period | \$ <u>-0-</u> |
| 7. Expenditures - Schedule E | \$ <u>5729.00</u> |
| 8. Unpaid Obligations - Schedule F | \$ <u>-0-</u> |
| 9. Amount on hand at the close of this reporting period. This should equal lines (1+3+4+5) - (6+7) | \$ <u>1222.00</u> |

Secretary of State

State Capitol, Ste 204
500 East Capitol Avenue
Pierre, South Dakota
57501-5070
sdsos@state.sd.us



JOYCE HAZELTINE
Secretary of State

TOM LECKEY
Deputy

State of South Dakota

Voluntary Statement of Organization for a Political Action or Ballot Question Committee

State law does not require new political action (PAC) or ballot question committees to register with the Secretary of State. Law does however require these committees to file campaign finance reports periodically following the commencement of political activity. This voluntary registration form will give the Secretary of State the information necessary to send your committee the proper reporting forms prior to the deadline for filing.

FULL NAME OF COMMITTEE: _____ -0-

MAILING ADDRESS: _____ -0-

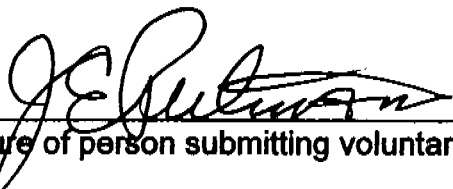
COMMITTEE TREASURER: _____ -0-

PHONE: _____ -0-

TYPE OF COMMITTEE (PAC or Ballot Question): _____

If you are a ballot question committee, please also indicate the measure which you are supporting or opposing.

Date: 12-30-02


Signature of person submitting voluntary registration

SOUTH DAKOTA CODIFIED LAW CHAPTER 12-25.
CAMPAIGN FINANCING AND CANDIDATES' FINANCIAL
STATEMENTS

Copyright (C) 1967-2002 by the State of South Dakota

Section

- 12-25-1. Definition of terms.
- 12-25-1.1. Maximum campaign contribution for individual candidate - Exception for relatives - Violation as misdemeanor.
- 12-25-1.2. Maximum contribution to political party - Violation as misdemeanor.
- 12-25-2. Allowable contribution sources - Contribution by corporation prohibited - Contribution by association restricted - Violation as misdemeanor.
- 12-25-3 to 12-25-4. Repealed.
- 12-25-4.1. Disclaimers required for campaign literature and advertisements - Violation as misdemeanor.
- 12-25-5 to 12-25-6. Repealed.
- 12-25-6.1. Judicial, school and subdivision candidate or candidate election excluded - Municipal or governmental subdivision option.
- 12-25-6.2. School board candidate to file financial disclosure statement - Violation as a misdemeanor.
- 12-25-7 to 12-25-11. Repealed.
- 12-25-12. Voluntary work and ordinary expenses permitted - Expenses to be included in statements - Failure to list as misdemeanor.
- 12-25-12.1. Certain political contributions exempt.
- 12-25-13. Preelection statement required of candidates and committees - Contents - Detailed statement at end of year - Violation as misdemeanor.
- 12-25-13.1. Supplemental statement required on large contributions received after original statement - Filing time - Violation as misdemeanor.
- 12-25-13.2. Repealed.
- 12-25-13.3. Statements required of candidates for county or legislative office - Contents - Time for filing - Violation as misdemeanor.
- 12-25-13.4. Record of small contributions required.
- 12-25-13.5. Elected or appointed officials to file statement - Time limit.
- 12-25-14. Verification of statements on prescribed forms.
- 12-25-14.1 to 12-25-15 to 12-25-17. Repealed.
- 12-25-18. Verification of committee treasurer's statement - Form.
- 12-25-18.1 to 12-25-19. Repealed.
- 12-25-19.1. Statement required of persons involved in referrals, initiatives, or proposed constitutional amendments - Contents.
- 12-25-19.2. Filing of statements of considerations received, obligated or paid - Time for filing - Violation as misdemeanor.
- 12-25-19.3. Summary of reports of receipts and expenditures.
- 12-25-20. Repealed.
- 12-25-21. Endorsement, preservation and destruction of statements of campaign expenses.
- 12-25-22. False statement as to campaign expenses as perjury.
- 12-25-23. Repealed.
- 12-25-24. Investigations and prosecution by attorney general.
- 12-25-25. Self-incrimination not ground for refusing testimony - Immunity from prosecution.
- 12-25-26. Forfeiture of office or removal from ballot for violation.
- 12-25-27. Candidates' financial statements - Definition of terms.
- 12-25-28. Statements of financial interest by candidates for state or federal office subject to primary - Violation as petty offense - Intentional violation as misdemeanor.
- 12-25-29. Statements of financial interest by convention nominees for state office - Violation as petty offense - Intentional violation as misdemeanor.